

# KINGSPORT AREA TRANSIT SERVICE Kingsport Area Transit Service DBE Goal and Methodology FFY 2023-2025

900 East Main Street Kingsport, TN 37660 www.kingsporttransit.org

**Grantee ID # 5097** 

# DBE Liaison Officer – Candace Sherer Telephone: 423-343-9711

## Grantee ID #5097

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## Kingsport Area Transit Service

## FFY 2023 thru FFY 2025 DBE Goal and Methodology

## **Overall Goal**

The Kingsport Area Transit Service (KATS) has completed its goal setting and methodology report for its three year Disadvantaged Business Enterprise (DBE) overall goal applicable to Federal Fiscal Years (FFY) 2023, 2024, and 2025 October 1, 2023 through September 30, 2025 contracting opportunities funded in whole or in part by the Federal Transit Administration (FTA), exclusive of FTA funds to be used for the purchase of transit vehicles.

KATS proposed DBE overall goal will be 1.4%. KATS overall goal is based on demonstrable evidence of the availability of ready, willing and able DBEs relative to all business ready, willing and able to participate in KATS's DOT-assisted contracts. It reflects the level of DBE participation that KATS would expect absent the effects of discrimination. **KATS's entire 1.4% goal will be obtained through race-neutral means and 0% through race-conscious means.** 

In order to establish its overall DBE goal, KATS followed federal regulations, including the two-step goal-setting methodology set forth in 49 CFR Part 26.45. KATS determined a "step one" base figure for its overall DBE goal using information from the Tennessee DBE Unified Certification Program (UCP) Directory (Attachment 1) as well as from the data densus.gov website (Attachment 2). KATS also determined which NAICS codes would be relevant by researching on the NAICS Identification Tools website. KATS determined whether a "step two" adjustment was needed.

**Defined local Market Area**: KATS used the Tri Cities area in order to define a local market area. This area consists of the following counties in Tennessee: Sullivan, Hawkins, and Washington. Based on areas we have done business with in the past and anticipate future business needs, these surrounding counties have been selected.

Basic Requirement: To begin the goal setting process, the recipient must first develop a base figure for the relative availability of DBEs. To determine a base figure for the relative availability of DBEs in the Kingsport Area Transit Service defined market area, the current TNUCP state-wide Disadvantaged Business Enterprise Directory (Attachment 1) was used as the numerator. The U.S. Census Bureau found on data.census.gov (Attachment 2) data for Sullivan County, Washington, and Hawkins was divided by the total number of all firms in Sullivan, Washington, and Hawkins counties listed in the Census Bureau with relevant NAICS codes (also Attachment 2) for the types of services utilized by KATS for transit system operations. After the base

figure was achieved, all other relative evidence was considered to see if an adjustment of this figure was needed to match the needs of the specific DBE community.

## **Projections**

KATS projects that it will receive \$ 3,900,000 in FTA financial assistance during FFYs 2023, 2024, and 2025. That projection is based on the grants and financial assistance that KATS received and was awarded. Out of that figure, KATS estimates that FTA's contractible portion would be approximately \$833,371. See table below of future projected money spread out over FFY 23, 24, and 25.

Project Description for FFY2023-2025	Estimated Federal Funds to be spent on each project	Totals
KATS Software Purchase for Fixed Route and ADA Operations	\$150,000	\$150,000
FFY2023-2025 Projected Contractible Funds FTA	\$155,400 x 3 =	\$466,200
Comprehensive Operations Study	\$90,000	\$90,000
FFY2020-2022 Projected Contractible Fuel Funds FTA	\$82,287+\$84,756+\$87,299)= \$254,342/2	\$127,171
Total FTA Assisted Contract Funds		\$833,371

## Public Participation – Part 26.45(g)(1) and (2)

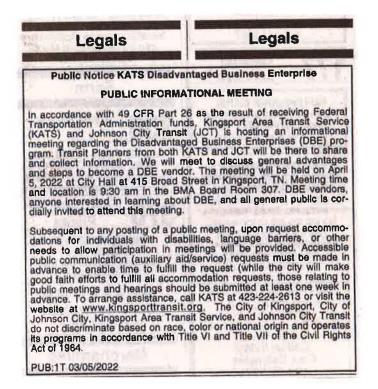
KATS 2023-2025 DBE proposed goal was published in the *Kingsport Times News* (the only local daily newspaper) on June 22, 2022 and was allowed a thirty (30) day public comment period. A copy of the newspaper affidavit of publication is shown in Attachment 6. KATS' proposed goal is also available to the public on the KATS website at www.kingsporttransit.org.

In addition to the above public outreach regarding KATS' proposed DBE goal, KATS staff made extensive effort to consult with area officials or organizations which might have information concerning the availability of disadvantaged and non-disadvantaged businesses and the effects of discrimination on opportunities for DBEs. KATS' efforts to establish a level playing field for the participation of DBEs was also part of this effort in goal development. Additional outreach efforts included posting information about DBE and goal setting on Facebook, the KATS website, and in the KATS lobby. KATS also hosted a DBE public information meeting with neighboring transit agency Johnson City Transit, and has attended several online educational workshops regarding DBE. We are

working with local DBE vendors and have continual outreach to KOSBE (Kingsport Office of Small Business Development and Entrepreneurship) with the Chamber of Commerce regarding goal setting.

### **DBE Public Outreach Opportunity**

On April 5, 2022 from at 9:30, KATS and Johnson City Transit hosed a DBE Public Informational meeting at City Hall in Kingsport, TN located at 415 Broad Street. The meeting was advertised in the Kingsport Times News, the Johnson City Press as well as Facebook and on the KATS and JCT website. Transit Planners Candace Sherer and Bradley Osbourne hosted the meeting. This was an informational meeting intended to to share and collect information regarding DBE participation. (see attached meeting slides as well as attachment 4). I was able to describe what KATS does and to describe the kind of opportunities that would be coming available for contractors and subcontractors. I explained that we had a DBE goal that was developed specifically for our agency and how we are to strive to meet that goal. The program specifically addressed how to become a DBE as well as the advantages.





Transit Planners Candace Sherer with KATS and Brad Osbourne with JCT

## DBE Participation 09/19/2021

I attended via online Kingsport's the hosted MEDWeek (Minority Enterprise Development Week) on September 19<sup>th</sup> 2021. This was a nationally recognized week designed to highlight businesses that are owned by someone belonging to a minority group. Business owners from each of the Tri-Cities discussed struggles, successes, and experiences of starting their own business. The goal in providing a survey and this panelist discussion was to create interest in other people who may be thinking about starting their own business, as well as to showcase featured businesses who have experienced growth and success. By providing this type of information, invaluable information is shared and everyone



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Minority owned businesses from the Tri-Cities region shared their personal experiences and insights on starting up and owning their own businesses. This was informative and interesting, and gave me knowledge to spread to other individuals who might be wanting to start their own businesses. Executive Director Aundrea Salyer with KOSBE (Kingsport's Office of Small Business Development and Entrepreneurship) hosted the event on September 19, 2021.

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eek rebadvocate.org

4

KATS is continuously keeping current via webinars or workshops. I attended a 4 day National Transit Institute DBE training on May 16-19, 2022. This training was in compliance with DOT's DBE regulations. The course involved the application and administration of the DBE requirements so that agencies can have a complete understanding of these requirements and engage in the best practices with regard to encouraging participation by DBE's. I also attended an online workshop on May 17th with TSBDC at East Tennessee State University on how to become DBE certified. I attended a TDOT DBE Procurement Training via Teams on May 25, 2022. Webinars such as this assist in continued education on how to set the DBE goal and the methodology. These webinars allow for live questions as well as several documents available for downloading which provide vital information and examples as well as names and contact information for further assistance if needed. KATS is subscribed to newsletters such as "Minority Business Development Agency Digest Bulletin" and receives TNUCP updates through the Tennessee Department of Transportation.

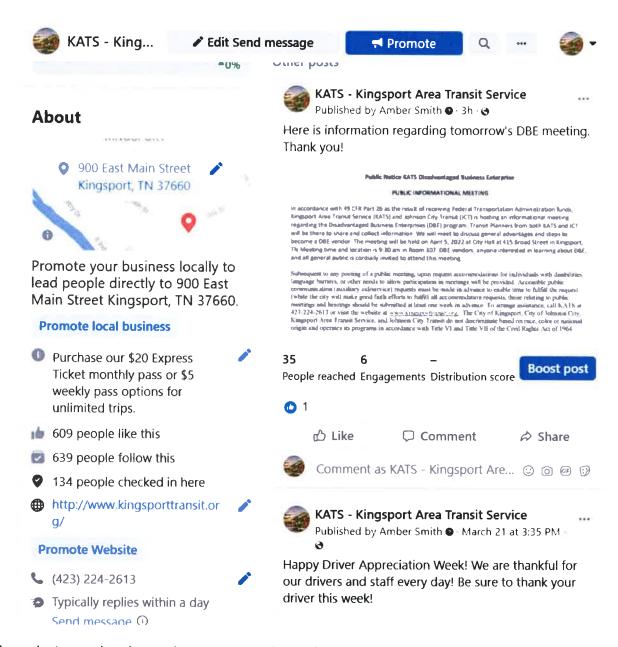
## **DBE Public Outreach Ongoing Efforts**

KATS has an ongoing relationship Aundrea Wilcox who is the Executive Director of KOSBE (Kingsport Office of Small Business Development & Entrepreneurship). KOSBE is located at 400 Clinchfield Street and is a part of the local City of Kingsport Chamber of Commerce. The relationship here allows Aundrea to inform anyone she comes in contact with who is interested in DBE what about the transit field and what opportunities that they might know of for DBE companies to be able to do business with our agencies. Aundrea Wilcox is willing to work with any interested businesses that need assistance in becoming DBE certified for the area. She is she interested in helping people become DBE certified, but she also wants to see them grow and be successful as a company. KATS is on the email mailing list for DBE activities and opportunities to continue their education. Transit Planner, Candace Sherer keeps in contact with Aundrea regarding DBE business so that she can continue in public outreach to try to increase awareness in the community for DBE participation.

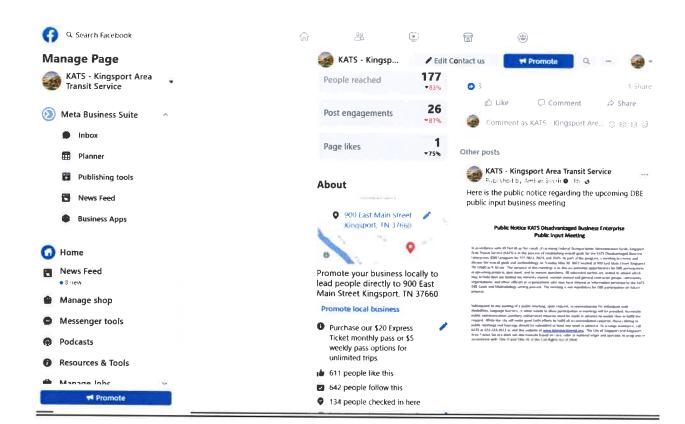
Another area of outreach is vendor specific. There are vendors that KATS does business with that I have outreached to directly in an email or a call to talk about DBE opportunities and to see if they might be interested in becoming DBE certified. I have spoken with our DBE vendors in detail to see what all is involved with that process from their point of view. (attachment 8)

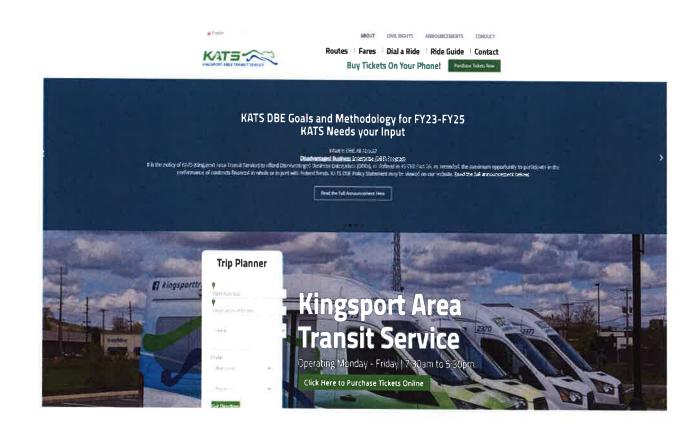
KATS continues to strive to keep the public informed about DBE opportunities for goal setting as well as overall information about how to become a DBE in the area. KATS uses Facebook and their personal website to communicate these opportunities, as well as lobby monitors. Public meetings are posted in the Kingsport Times news. (See photo shown below of this ad). The online posting below was on our website as a public invitation to provide public or written comment on our goal setting for FY2023-FY2025.

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he above	Legals	Legals	
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scribed in	Dublic Method		
claims will	Public Notice	KATS Disadvantaged	
A States	Busine	ss Enterprise	
from the	Public	Input Meeting	
cation (or	In accordance with 10 Post	on the requilt of reaching Federal	
ay be) of	Transportation Administration	26 as the result of receiving Federal	
Notice to	ice (KATS) is in the process	n funds, Kingsport Area Transit Serv- of establishing overall goals for the	
(60) days	KATS Disadvantaged Busin	less Enterprises (DBE) program for	
four (4)	FFY 2023, 2024, and 2025.	As part of the program, a meeting to	
st	review and discuss the over	arall goals and methodology will be	
PROVINCE I	held on Tuesday May 10, 2	erall goals and methodology will be 022 located at 900 East Main Street	
the date	Kingsport TN 37660 at 9:30	am. The purpose of the meeting is to	
an actual	discuss potential opportunitie	es for DBE participation in upcoming	
reditors if	projects, gain input, and to answer questions. All interested par-		
e copy of	ties are invited to attend which may include (but not limited to) mi-		
(60) days	nority owned, women owned	and general contractor groups, com-	
s four (4)	munity organizations, and	other officials or organizations who	
rst	Goale and Mathadology sett	mation pertinent to the KATS DBE	
	datory for DBE participation of	ing process. The meeting is not man-	
and a set of	and y for DDE participation of	in latere projects.	
from the	Subsequent to any posting of	of a public meeting, upon request, ac-	
	commodations for individual	s with disabilities, language barriers,	
022.	or other needs to allow parti	cipation in meetings will be provided.	
UEE.	Accessible public communic	ation (auxiliary aid/service) requests	
nes Perry,	must be made in advance	to enable time to fulfill the request.	
Executrix	while the city will make good	d faith efforts to fulfill all accommoda-	
D. Tarley	should be submitted at least	g to public meetings and hearings	
P. Taylor, the Estate	sistance call KATS at 402.0	one week in advance. To arrange as- 24-2613 or visit the website at www.	
Lotate	kingsporttransit.org The	City of Kingsport and Kingsport Area	
& Master	Transit Service does not dis	criminate based on race, color or na-	
). Box 327	tional origin and operates its	programs in accordance with Title VI	
TN 37617	and Title VII of the Civil Right	s Act of 1964.	
323-6483			
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The photograph above is a screenshot of the KATS Facebook page giving out information about DBE participation and how to become a DBE or where to find further information regarding DBE opportunities. The photographs below are our Facebook posting in regard to our Public Input Goal Setting meeting held on May 10, 2022 as well as our request for goal input on our website.





Kats also posted an announcement on all lobby monitors soliciting DBE Goal setting community participation. See below.



To note: Despite KATS efforts to seek DBE public participation on Goal Setting and Methodology for FY2020-2022, no public input was given.

06/22/2022 06/22/2022

#### PUBLIC NOTICE CONCERNING THE KINGSPORT AREA TRANSIT SERVICE (KATS) DISADVANTAGED BUSINESS ENTERPRISE (DBE) GOAL FOR FISCAL YEARS 2023-2025

In accordance with the requirements of the U.S. Department of Transportation as set forth in 49 C.F.R. Part 26, as amended, the Kingsport Area Transit Service (KATS) hereby notifies the public that it is recommending a Disadvantaged Business Enterprise (DBE) goal of 1.4% of Federal financial assistance expended in DOT-assisted contracts, (exclusive of FTA funds to be used for the purchase of transit vehicles). KATS plans to obtain this goal through race-neutral means. The overall goal is based on the availability of ready, willing, and able DBE firms verses all business ready, willing, and able to participate in KATS DOT assisted contracts.

The proposed goal and the methodology plan are available for review between 8:00 a.m. and 5:00 p.m., Monday through Friday at 900 East main Street, Kingsport, TN 37660. It is also available online at **www.kingsporttransit.org**. Written comments on this goal will be accepted for 30 days, from June 22, 2022 through July 22, 2022. The comments are for information purposes only and may be sent to the KATS's DBE Staff, Candace Sherer or via email at **www.kingsporttransit.org** in the contact section. The City of Kingsport and Kingsport Area Transit Service, does not discriminate based on race, color or national origin and operates its programs in accordance with Title VI and Title VII of the Civil Rights Act of 1964.

PUB1T:



The DBE Goal for FFY2023-2025 was also posted on the KATS website

## Methodology Used to Calculate Overall Goal

### Step 1: 26.45 (c)

Determine the base figure for the relative availability of DBEs.

3 Certified DBEs in Tri Cities area with relevant NAICS code

=.01357

221 All Ready Willing and Able Firms in the Tri Cities area with relevant NAICS code

The data source or demonstrable evidence used to derive the numerator was: TDOT website for TN DBE UCP codes which is updated regularly (2022 version). The data source or demonstrable evidence used to derive the denominator was: data.census.gov.

KATS determined relevant DBE vendors in our region by looking on the TDOT website and looking at the TNUCP certified listings. (See attachment 1). We also identified DBE vendors that we have used in the past and are currently using for continued yearly projects. KATS also researched the Census Bureau Data by NAICS codes (Attachment 3). The total number of relevant DBE firms (3) in the TDOT database for TDOT Region 1 of TN within the counties surrounding the Tri Cities area including (Hawkins, Sullivan, and Washington) where KATS is located was divided by the total number of firms listed by the data.census.gov. (See attachment 2) for the Region 1 above defined market area within these same counties (264) firms that provide goods and services utilized or possible forecast of utilizing by KATS for transit system needs.

This calculation resulted in 3 relevant DBEs (Region 1 TDOT DBE List with applicable NAICS codes) divided by 221 firms with applicable NAICS codes for projected work equals 0. 01357 or a **base goal of 1.4 percent**.

## Step Two 26.45 (d): Are Adjustments to Base Figure Needed? (Part 26.45 (d))

KATS has examined all of the evidence available in KATS' jurisdiction to determine what adjustment, if any, is needed to the base figure in order to arrive at its overall goal. No disparity studies are available for the KATS area, but based on:

KATS desire is to support opportunities for DBE's to form, grow, and compete in this Area, such as self-employment, education, marketing, and training.

The low number of DBE vendors in the TDOT Region 1 area, specifically in our determined market area with specific NAICS codes (a total of 3) found in TNUCP state-wide directory of Certified DBE Vendors for Hawkins, Sullivan and Washington counties.

Past participation is not a deciding factor; however, if KATS has experienced a mutually successful experience on projects or services rendered, the DBE would then be considered again for projects is FFY2023-2025. There are a couple of vendors on the UCP list that we have contacted in our area that after discussion, we realized did not provide the service needed. KATS does somewhat factor in the fact that this is not an area with great DBE sources. This fact can make meeting the DBE goal challenging despite the fact KATS continues to try and grow this market.

## Weighting

In order to determine the weight, each projected amount to be spent on each NAICS code description was divided by \$ 833,371. This figure was determined by summarizing the FTA contractible funds available to be spent on operations \$466,200, the projected fuel cost of \$127,171, \$90,000 on an Operations Study and a new dispatch software program was \$150,000. These figures added together amounted to \$833,371.00. This is how the calculations were determined.

Weight of each type of work by NAICS Code:

All FTA-assisted projects below: Project amounts assigned relevant NAICS Code (s).

\$833,371 will be allocated from FTA assisted funding. As identified in the table below (Attachment 1), the relative availability of DBE contractors in the market area is very limited.

(Weight) X (Availability) = Weighted Base Figure

Weighted Base Figure: .1.19%

The formula resulted in no adjustment to the goal.

Based on the limited availability of DBE firms in our area, the current projected scope of work, and the weighted figure of 1.19 %, KATS believes that a 1.4% goal is a fair goal at this time. (1.4 % + 1.19% = 2.59 / 2 = 1.3). We have set our DBE goal at 1.4 percent with the expectation to review this goal as needed in accordance with project variance.

## Attachment 1

## **Tennessee Uniform Certification Program**

### (TNUCP) Listing

Company Information	County Located	Company Type	Owner Name /Email/Web Addr
ALH Construction Company	CARTER (10)	Other	Hall, Annetta L.
415 West E. Street Elizabethton, TN 37643	Region 1		alhcc@chartertn.net
(423) 543-4946	Certification: DBE		
<b>Counties Work In</b>			

All Counties

#### Specialty

Concrete: (curb, gutter, driveways, inlets, catch basins, manholes, and small culverts), General Contracting: (residential, commercial/public, and agricultural), Structural: (construction of masonry, steel or timber bridges, large culverts, and placement of reinforcing steel), underground: (storm sewers and water mains)

#### **NAICS List**

Highway, Street, and Bridge Construction (237310), Structural Steel and Precast Concrete Contractors (238120)

Company Information	County Located	Company Type	Owner Name /Email/Web Addr
Andrews Electric	KNOX (47)	Contractor	Andrews, David
3404 Martin Luther King Avenue Knoxville, TN 37914	Region 1		
(865) 673-5086	Certification: DBE		
Counties Work In			
All Counties			
Specialty			
Electrical Contractor			
NAICS List			
Electrical Contractors (238210)			

Company Information	County Located	Company Type	Owner Name /Email/Web Addr
Bingham Group, Inc., The	KNOX (47)	Consultant	Bingham, Lisa
11921 Kingston Pike, Suite 201	Region 1		lisa@binghamgroup.com
Knoxville, TN 37934			
(865) 523-5999	Certification: DBE		www.binghamgroup.com
Counties Work In			
All Counties			
Specialty			
Marketing Consulting Services, G	Graphic Design and Televis	ion Production	

#### **NAICS** List

Marketing Consulting Services (541613), Other Services Related to Advertising (541890)

Company Information	County Located	Company Type	Owner Name /Email/Web Addr
CGS, Inc.	LOUDON (53)	Other	McCabe, Joy
14225 Hickory Creek Road			
Lenoir City, TN 37933	Region 1		joym@cgs-inc.com
(865) 567-1026	Certification: DBE	:	
Counties Work In			
All Counties			
Specialty			
Industrial Equipment/Supplies			
NAICS List			
Natural Gas Distribution (22121	0)		

<b>Company Information</b>	County Located	Company Type	Owner Name /Email/Web Addr
Cherokee Porcelain Enamel Corporation	KNOX (47)	Other	Helms, Vicki
2717 Independence Lane			
Knoxville, TN 37914	Region 1		vicki@cherokeeporcelain.com
(865) 637-7833	Certification: DBE		
Counties Work In			
All Counties			

#### Specialty

Manufacturing of porcelain enamel over steel, porcelain signage.

#### **NAICS List**

Metal Stamping (332116), Ornamental and Architectural Metal Work Manufacturing (332323), Sign Manufacturing (339950)

Company Information	County Located	Company Type	Owner Name /Email/Web Addr
Contract Support Services	SEVIER (78)	Contractor, Other	Denmark, Betty Gail
435 Richlen Ridge Road			
Blairsville, GA 30512	Region 1		bgdenmark8@yahoo.com
(706) 851-0325	Certification: DBE		
Counties Work In			
All Counties			

#### Specialty

Site Manager Support; Administrative and Clerical Support; Enforce Contract Compliance pertaining to EEO, Title VI, FHWA and DBE Utilization Requirements

#### **NAICS List**

Highway, Street, and Bridge Construction (237310), Human Resources and Executive Search Consulting Services (541612), Office Administrative Services (561110)

Company Information	County Located	Company Type	Owner Name /Email/Web Addr
DPI	KNOX (47)	Contractor	Peterson, Donald D.
P.O. Box 22178			
Knoxville, TN 37933	Region 1		dpiknoxtenn@aol.com
(865) 755-1874	Certification: DB	E	
Counties Work In			
All Counties			
Specialty			
Project Management/General Co	ontracting		
NAICS List			

All Other Specialty Trade Contractors (238990)

Company Information	County Located	Company Type	Owner Name /Email/Web Addr
Data Consulting Service, Inc. dba San, Inc.	SULLIVAN (82)	Consultant, Supplier	Chatra, Ashok
1041 Norfolk Place	Durit d		
Kingsport, TN 37660	Region 1		achatra@yahoo.com
(423) 534-0459	Certification: DBE	1	www.san2inc.com
Counties Work In			
All Counties			

#### Specialty

Transportation Traffic/Planning Consulting and Import/Export of Durable Goods

#### **NAICS** List

All Other Professional, Scientific, and Technical Services (541990), Medical, Dental, and Hospital Equipment and Supplies Merchant Wholesalers (423450)

Company Information	County Located	Company Type	Owner Name /Email/Web Addr
Dunn's Mowing Service	HAWKINS (37)	Contractor	Dunn, Rashid
1120 Bidwell St.			
Kingsport, TN 37664	Region 1		rashiddunn@gmail.com
(678) 508-8306	Certification:		
Counties Work In			
All Counties			
Specialty			
Landscaping Services			
NAICS List			
Landscaping Services (561730)			

**Company Information County Located Company Type** Owner Name /Email/Web Addr Dykes Trucking, Inc. SULLIVAN (82) Contractor Dykes, Kim 1019 Moreland Drive Region 1 kim@dykestrucking.com Kingsport, TN 37664 Certification: DBE (423) 446-8261 **Counties Work In** All Counties

#### Specialty

Asphalt & Bridge Deck Sealing, Concrete Flatwork, Milling, General Freight Trucking (Local), Erosion Control, Traffic Control

#### **NAICS List**

All Other Support Services (561990), General Freight Trucking, Local (484110), Highway, Street, and Bridge Construction (237310), Landscaping Services (561730), Masonry Contractors (238140), Poured Concrete Foundation and Structure Contractors (238110), Site Preparation Contractors (238910)

Company Information	County Located	Company Type	Owner Name /Email/Web Addr
Elite Manufacturing and Professional Services, Inc	SULLIVAN (82)	Other	Bennett, Sonja
405 Tilthammer Drive			74
Kingsport, TN 37660	Region 1		sbennett@elite-workforce.com
(423) 765-9935	Certification: DB	E	www.elite-workforce.com

#### **Counties Work In**

ANDERSON (01), BEDFORD (02), BLEDSOE (04), BLOUNT (05), BRADLEY (06), CAMPBELL (07), CANNON (08), CARTER (10), CHEATHAM (11), CLAIBORNE (13), CLAY (14), COCKE (15), COFFEE (16), CUMBERLAND (18), DAVIDSON (19), DEKALB (21), DICKSON (22), DYER (23), FAYETTE (24), FENTRESS (25), FRANKLIN (26), GIBSON (27), GILES (28), GRAINGER (29), GREENE (30), GRUNDY (31), HAMBLEN (32), HAMILTON (33), HANCOCK (34), HARDEMAN (35), HARDIN (36), HAWKINS (37), HAYWOOD (38), HENDERSON (39), HENRY (40), HICKMAN (41), HOUSTON (42), HUMPHREYS (43), JACKSON (44), JEFFERSON (45), JOHNSON (46), KNOX (47), LAKE (48), LAUDERDALE (49), LAWRENCE (50), LEWIS (51), LINCOLN (52), LOUDON (53), MACON (56), MADISON (57), MARION (58), MARSHALL (59), MAURY (60), MCMINN (54), MCNAIRY (55), MEIGS (61), MONROE (62), MONTGOMERY (63), MOORE (64), MORGAN (65), OBION (66), OVERTON (67), PERRY (68), PICKETT (69), POLK (70), PUTNAM (71), RHEA (72), ROANE (73), ROBERTSON (74), RUTHERFORD (75), SCOTT (76), SEQUATCHIE (77), SEVIER (78), SHELBY (79), SMITH (80), STEWART (81), SULLIVAN (82), SUMNER (83), TIPTON (84), TROUSDALE (85), UNICOI (86), UNION (87), VAN BUREN (88), WARREN (89), WASHINGTON (90), WAYNE (91), WEAKLEY (92), WHITE (93), WILLIAMSON (94), WILSON (95)

#### Specialty

Employment Placement Agencies and Temporary Help Services

#### **NAICS List**

Employment Placement Agencies (56131), Temporary Help Services (561320)

Company Information	County Located	Company Type	Owner Name /Email/Web Addr
Elvado Environmental, LLC	KNOX (47)	Consultant	Mortimore, Jill A.
9724 Kingston Pike, Suite 603 Knoxville, TN 37922	Region 1		jmortimore@elvadollc.com
(865) 705-7726	Certification: DBE		
Counties Work In			
All Counties			
Specialty			
Environmental Consulting Servic	es		
NAICS List			
Environmental Consulting Servic	es (541620)		

Company Information	County Located	Company Type	Owner Name /Email/Web Addr
First Place Finish, Inc.	ANDERSON (01)	Contractor	Patrick, Laurel J.
127 Rockbridge Greens Blvd. Oak Ridge, TN 37830	Region 1		lpatrick@firstplacefinish.us
(865) 482-1959	Certification: DBE	:	

#### **Counties Work In**

ANDERSON (01), BLOUNT (05), CAMPBELL (07), CARTER (10), CLAIBORNE (13), COCKE (15), GRAINGER (29), GREENE (30), HAMBLEN (32), HANCOCK (34), HAWKINS (37), JEFFERSON (45), JOHNSON (46), KNOX (47), LOUDON (53), MONROE (62), MORGAN (65), ROANE (73), SCOTT (76), SEVIER (78), SULLIVAN (82), UNICOI (86), UNION (87), WASHINGTON (90)

#### Specialty

New Single-Family Housing Construction, New Multifamily Housing Construction, New Housing Operative Builders, Residential Remodelers, Industrial Building Construction, Commercial and Institutional Building Construction, Highway, Street, and Bridge Construction, Other Heavy and Civil Engineering Construction, Landscaping Services, Flagging (i.e., traffic control) Services, Structural Steel and Precast Concrete Contractors, Poured Concrete Foundation and Structure Contractors, Painting and Wall Covering Contractors, Framing, Plumbing, All Other Specialty Trade Contractors

#### **NAICS List**

All Other Specialty Trade Contractors (238990), All Other Support Services (561990), Commercial and Institutional Building Construction (236220), Framing Contractors (238130), Highway, Street, and Bridge Construction (237310), Industrial Building Construction (236210), Landscaping Services (561730), New Housing Operative Builders (236117), New Multifamily Housing Construction (except Operative Builders) (236116), New Single-Family Housing Construction (except Operative Builders) (236115), Other Heavy and Civil Engineering Construction (237990), Painting and Wall Covering Contractors (238220), Plumbing, Heating, and Air-Conditioning Contractors (238220), Poured Concrete Foundation and Structure Contractors (238110), Residential Remodelers (236118), Structural Steel and Precast Concrete Contractors (238120)

Company Information	County Loca	ted	Company Type	Owner Name /Email/Web Addr
Hedstrom Landscaping Architecture, LLC	KNOX (47)		Consultant	Pinnell, Sara Hedstrom
110 W. Magnolia Avenue				
Knoxville, TN 37917	Region 1			erin@hedstromdesign.com
(865) 329-0012	Certification:	DBE		www.hedstromdesign.com
Counties Work In				
All Counties				
Specialty				
Landscape Architecture Design S	Services			
NAICS List				
Landscape Architectural Services	s (541320)			

<b>Company Information</b>	County Located	Company Type	Owner Name /Email/Web Addr
Hicks & Ingle Corporation	KNOX (47)	Other	Ivey, Katrina M.
3909 Joe Daniels Road Knoxville, TN 37931	Region 1		katrina_i@hi-corp.org
(865) 927-4529	Certification: DBE		
Counties Work In			

All Counties

#### Specialty

Plumbing, Heating and Air Conditioning Contractor; Commercial and Institutional Building Construction (Fire Sprinkler Installation); Building Inspection Services

### **NAICS List**

Building Inspection Services (541350), Commercial and Institutional Building Construction (236220), Plumbing, Heating, and Air-Conditioning Contractors (238220)

Company Information	County Located	Company Type	Owner Name /Email/Web Addr
MPI Business Solutions, Inc.	KNOX (47)	Consultant, Contractor	Whiteside, Jacqueline
106 Texas Ave.			
Knoxville, TN 37921	Region 1		mpibusiness@bellsouth.net
(865) 540-1646	Certification: DBE		
Counties Work In			
All Counties			
Specialty			
Commercial and Institutional Bui	ilding Construction,Faciliti	es Support Services	

#### **NAICS List**

Commercial and Institutional Building Construction (236220), Facilities Support Services (561210)

Company Information	County Located	Company Type	Owner Name /Email/Web Addr
Management Solutions, LLC	KNOX (47)	Consultant	Mayes, Misty D.
2202 Award Winning Way, Suite 201	Region 1		mmayes@managementsolutionsllc.com
Knoxville, TN 37932	5		
(000) 000-0000	Certification: DBE		www.managementsolutionsllc.com
Counties Work In			
All Counties			
Specialty			
Project Management/Project Co	ntrols		
NAICS List			
Commercial and Institutional Bu	ilding Construction (23622	.0)	

Company Information	County Located	Company Type	Owner Name /Email/Web Addr
Rod Drywall & Painting Services, LLC	WASHINGTON (90)	Contractor	Marrero, Pedro Rodriguez
4804 N Roan St.			
Johnson City, TN 37615	Region 1		info@roddrywall.com
(423) 218-0605	Certification: DBE		
Counties Work In			
All Counties			
Specialty			

Wood and Metal Framing, Drywall Finishing, Painting and Wall Covering Contractor

#### **NAICS** List

All Other Specialty Trade Contractors (238990), Painting and Wall Covering Contractors (238320)

Company Information	County Located	Company Type	Owner Name /Email/Web Addr
SOUTHEASTERN CONSTRUCTORS, LLC	KNOX (47)	Contractor	Deathridge, Mark
109 Bertrand Street, N.E.			
Knoxville, TN 37917	Region 1		markdea@aol.com
(865) 522-6108	Certification: DBE		www.etmccorp.com
Counties Work In			
All Counties			
Specialty			
General Contracting and underg	round storm sewers sanita	ry sewers and waterma	ins

#### **NAICS List**

All Other Specialty Trade Contractors (238990), Plumbing, Heating, and Air-Conditioning Contractors (238220)

Company Information	County Located	Company Type	Owner Name /Email/Web Addr
Siler Excavating, LLC	CLAIBORNE (13)	Contractor	Siler, Tammy
1217 Buffalo Road			
Clairfield, TN 37715	Region 1		tammy@siler.biz
(423) 784-0287	Certification: DBE		www.siler.biz
Counties Work In			

All Counties

#### Specialty

General Construction, Communication Towers, Concrete, Excavating, Site Preparation, Hauling, Erosion Control

#### **NAICS List**

General Freight Trucking, Local (484110), Highway, Street, and Bridge Construction (237310), Landscaping Services (561730), Poured Concrete Foundation and Structure Contractors (238110), Site Preparation Contractors (238910)

Company Information	County Located	Company Type	Owner Name /Email/Web Addr
Southern Safety Supply, LLC	KNOX (47)	Supplier	Sizemore, Sara
207 Center Park Drive, Suite 2090 Knoxville, TN 37922	Region 1		sara@southernsafety.com
(865) 673-0140	Certification: DBE		
<b>Counties Work In</b>			
All Counties			
Specialty			
Safety Equipment and Protective	Equipment		
NAICS List			

Industrial Supplies Merchant Wholesalers (423840)

Company Information	County Located	Company Type	Owner Name /Email/Web Addr
Tri-Cities Bilingual Consulting	ri-Cities Bilingual Consulting WASHINGTON (90)		Rodriguez, Neila
4804 N. Roan Street Johnson City, TN 37615	Region 1		tcbcnl@aol.com
(423) 218-0605	Certification: DBE		
Counties Work In			
All Counties			
Specialty			
Translation and Interpretation S	ervices		
NAICS List			

Translation and Interpretation Services (541930)

Company Information	County Located	i Company Type	Owner Name /Email/Web Addr
Zion Marine, Inc.	SULLIVAN (82)	Contractor	Templeton, Lori
101-A Indian Center CT Kingsport, TN 37667	Region 1		info@zionmarine.net
(423) 343-5312	Certification: D	BE	zionmarine.net
Counties Work In			
All Counties			
Specialty			

Commercial Diving; Marine Construction

#### **NAICS** List

All Other Specialty Trade Contractors (238990), All Other Support Services (561990), Navigational Services to Shipping (488330), Other Heavy and Civil Engineering Construction (237990), Water and Sewer Line and Related Structures Construction (237110)

Attachment 2

Geographic Area Name	2017 NAICS code	Meaning of NAICS code	Meaning of Legal form of organization code	Meaning of Employment size of establishments code	Year
Hawkins County,		Printing and related			
Tennessee	3231	support activities	All establishments	All establishments	2020
Hawkins County,					
Tennessee	56172	Janitorial services	All establishments	All establishments	2020
Hawkins County, Tennessee	56172	Janitorial services	All establishments	Establishments with less than 5 employees	2020
Hawkins County,	50172	Samonal Services	Airestablistiments	Establishments with 5	2020
Tennessee	56172	Janitorial services	All establishments	to 9 employees	2020
Hawkins County, Tennessee	561730	Landscaping services	All establishments	All establishments	2020
Hawkins County, Tennessee	561730	Landscaping services	All establishments	Establishments with less than 5 employees	2020
Sullivan County, Tennessee	3231	Printing and related support activities	All establishments	All establishments	2020
Sullivan County, Tennessee	3231	Printing and related support activities	All establishments	Establishments with less than 5 employees	2020
Sullivan County, Tennessee	45431	Fuel dealers	All establishments	All establishments	2020
Sullivan County, Tennessee	541430	Graphic design services	All establishments	All establishments	2020
Sullivan County, Tennessee	541430	Graphic design services	All establishments	Establishments with less than 5 employees	2020
Sullivan County, Tennessee	541613	Marketing consulting services	All establishments	All establishments	2020
Sullivan County, Tennessee	541613	Marketing consulting services	All establishments	Establishments with less than 5 employees	2020

- 20

Number of establishments	Annual payroll (\$1,000)	First-quarter payroll (\$1,000)	Number of employees
4	5,002	1,440	111
6	464	112	29
3	N	N	N
3	N	N	N
7	687	60	17
5	N	N	N
11	14,172	3,158	230
6	N	N	N
3	1,205	238	34
3	453	40	6
3	N	N	N
5	1,621	654	27
4	Ν	N	N

Geographic Area Name	2017 NAICS code	Meaning of NAICS code	Meaning of Legal form of organization code	Meaning of Employment size of establishments code	Year
Sullivan County,					
Tennessee	54192	Photographic services	All establishments	All establishments	2020
Sullivan County, Tennessee	54192	Photographic services	All establishments	Establishments with less than 5 employees	2020
Sullivan County,					
Tennessee	56172	Janitorial services	All establishments	All establishments	2020
Sullivan County, Tennessee	56172	Janitorial services	All establishments	Establishments with less than 5 employees	2020
Sullivan County,				Establishments with 5	
Tennessee	56172	Janitorial services	All establishments	to 9 employees	2020
Sullivan County, Tennessee	56172	Janitorial services	All establishments	Establishments with 10 to 19 employees	2020
Sullivan County, Tennessee	56172	Janitorial services	All establishments	Establishments with 20 to 49 employees	2020
Sullivan County, Tennessee	561730	Landscaping services	All establishments	All establishments	2020
Sullivan County, Tennessee	561730	Landscaping services	All establishments	Establishments with less than 5 employees	2020
Sullivan County, Tennessee	561730	Landscaping services	All establishments	Establishments with 5 to 9 employees	2020
Sullivan County, Tennessee	561730	Landscaping services	All establishments	Establishments with 10 to 19 employees	2020
Sullivan County, Tennessee	561730	Landscaping services	All establishments	Establishments with 20 to 49 employees	2020
Sullivan County, Tennessee	8112	Electronic and precision equipment repair and maintenance	All establishments	All establishments	2020

Number of establishments	Annual payroll (\$1,000)	First-quarter payroll (\$1,000)	Number of employees	
5	603	100	32	
4	N	N	N	
30	6,716	1,602	376	
17	N	N	N	
5	N	N	N	
3	Ν	N	Ν	
3	N	N	N	
41	9,841	1,665	306	
24	N	N	N	
8	N	N	N	
5	N	Ν	N	
4	N	N	N	
5	1,328	312	28	

Geographic Area Name	2017 NAICS code	Meaning of NAICS code	Meaning of Legal form of organization code	Meaning of Employment size of establishments code	Year
Sullivan County,		Electronic and precision equipment repair and		Establishments with	
Tennessee	8112	maintenance	All establishments	less than 5 employees	2020
Washington County,		Printing and related			
Tennessee	3231	support activities	All establishments	All establishments	2020
Washington County, Tennessee	3231	Printing and related support activities	All establishments	Establishments with less than 5 employees	2020
Washington County, Tennessee	541613	Marketing consulting services	All establishments	All establishments	2020
Washington County, Tennessee	541613	Marketing consulting services	All establishments	Establishments with less than 5 employees	2020
Washington County, Tennessee	54192	Photographic services	All establishments	All establishments	2020
Washington County, Tennessee	56172	Janitorial services	All establishments	All establishments	2020
Washington County, Tennessee	56172	Janitorial services	All establishments	Establishments with less than 5 employees	2020
Washington County, Tennessee	56172	Janitorial services	All establishments	Establishments with 5 to 9 employees	2020
Washington County, Tennessee	56172	Janitorial services	All establishments	Establishments with 20 to 49 employees	2020
Washington County, Tennessee	561730	Landscaping services	All establishments	All establishments	2020
Washington County, Tennessee	561730	Landscaping services	All establishments	Establishments with less than 5 employees	2020

Number of establishments	Annual payroll (\$1,000)	First-quarter payroll (\$1,000)	Number of employees	
4	N	Ν	N	
10	4,104	1,136	101	
6	N	N	N	
5	458	76	25	
4	N	N	N	
4	783	267	41	
21	5,631	1,165	321	
10	N	N	Ν	
3	N	N	N	
4	N	Ν	Ν	
33	5,469	964	156	
22	N	N	N	

Geographic Area Name	2017 NAICS code	Meaning of NAICS code	Meaning of Legal form of organization code	Meaning of Employment size of establishments code	Year
Washington County,				Establishments with 5	
Tennessee	561730	Landscaping services	All establishments	to 9 employees	2020
Washington County,				Establishments with 10	
Tennessee	561730	Landscaping services	All establishments	to 19 employees	2020
Washington County,		Electronic and precision equipment repair and			
Tennessee	8112	maintenance	All establishments	All establishments	2020

Number of establishments	Annual payroll (\$1,000)	First-quarter payroll (\$1,000)	Number of employees
6	Ν	N	N
4	Ν	N	N
3	348	94	6

## Attachment 3

NAICS CODE	Description	DBE firms in defined Market Area	All Available Firms	Relative Availability (%)	Amount of projected FTA funds on projects	% of total DOT funds	Total amount of FTA funds allocated	Weighted Base%
						(weight)		
541430	Graphic Design Services	0	3	0%	\$5,000	0,59%	\$833,371.00	
3231	Printing and related support activities	1	25	4%	\$25,000	2,90%	\$ 833,371.00	0,12%
532420	Office and Equipment Rental	0	0	0%	\$13,575	1.60%	\$ 833,371,00	0,00%
541613	Marketing Consulting Services	1	10	10%	\$90,000	10.70%	\$ 833,371,00	1,07%
81233	Uniform Rental	0	3	0%	\$18,500	2.20%	\$ 833,371.00	0.00%
454310	Fuel	0	3	0%	\$254,342	30.50%	\$ 833,371,00	0.00%
54192	Photography	0	8	0%	\$1,400	0.17%	\$ 833,371.00	
811213	Electronic equipment maintenace	0	8	0%	\$29,000	3.47%	\$ 833,371.00	0.00%
5418	Advertising	0	23	0%	\$16,900	2.00%	\$ 833,371.00	0.00%
423430	Software	0	0	0%	\$237,000	28.40%	\$ 833,371.00	
561730	Landscaping	1	81	1%	\$32,875	3.90%	\$ 833,371.00	
561720	Janitorial Services	0	57	0%	\$89,675	10.70%	\$ 833,371.00	0.00%
4238603	Vehicle Supplies	0	0	0%	\$20,104	2.40%	\$ 833,371,00	
	Total firms	3	221	1%	\$833,371		\$833,371.00	1.19%
	Total FTA Assisted Contract Funds Combined Totals	3	221	0.01357 or 1.4 % overall DBE availability	DBE goal for FY2023-2025 is 1.4%			

Standard Base Figure is 1.4 %

Take \$5000 and divide it by \$833,371 and that will give you the % of total DOT funds

Take the weight percentage of total DOT funds and multiply by the DBE Relative ability to get the weighted base.

Prior DBE Goal FFY2020-2022 was 1.4 %

Rounded Weighted Base Figure is 1.19%

 Sum
 2.59

 Divied by 2 =
 1.295

Rounded up would be 1.3 percent

Weighting produces the same DBE goal within .1 %.

Ad Type

#### Public Notice KATS Disadvantaged Business Enterprise

#### PUBLIC INFORMATIONAL MEETING

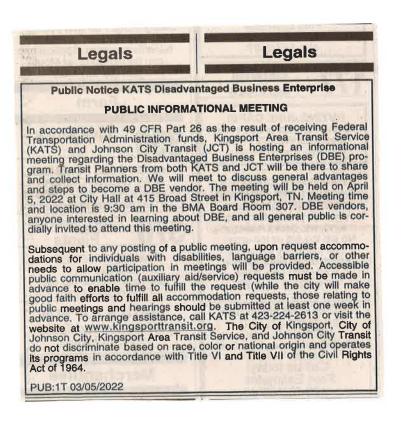
In accordance with 49 CFR Part 26 as the result of receiving Federal Transportation Administration funds, Kingsport Area Transit Service (KATS) and Johnson City Transit (JCT) is hosting an informational meeting regarding the Disadvantaged Business Enterprises (DBE) program. Transit Planners from both KATS and JCT will be there to share and collect information. We will meet to discuss general advantages and steps to become a DBE vendor. The meeting will be held on April 5, 2022 at City Hall at 415 Broad Street in Kingsport, TN. Meeting time and location is 9:30 am in the BMA Board Room 307. DBE vendors, anyone interested in learning about DBE, and all general public is cordially invited to attend this meeting.

Subsequent to any posting of a public meeting, upon request accommodations for individuals with disabilities, language barriers, or other needs to allow participation in meetings will be provided. Accessible public communication (auxiliary aid/service) requests must be made in advance to enable time to fulfill the request (while the city will make good faith efforts to fulfill all accommodation requests, those relating to public meetings and hearings should be submitted at least one week in advance. To arrange assistance, call KATS at 423-224-2613 or visit the website at www.kingsporttransit.org. The City of Kingsport, City of Johnson City, Kingsport Area Transit Service, and Johnson City Transit do not discriminate based on race, color or national origin and operates its programs in accordance with Title VI and Title VII of the Civil Rights Act of 1964.

march 7, 2022

PUB:1T 03/05/2022

Ad Number



Ad Number Ad Type 0001594132-01 XLegal Liner Attachment 5

#### External Ad Number

Ad Size	Color	
2 X 49 li		
Order Start Date	Order Stop Date	
04/27/2022	04/27/2022	

#### Public Notice KATS Disadvantaged Business Enterprise Public Input Meeting

In accordance with 49 Part26 as the result of receiving Federal Transportation Administration funds, Kingsport Area Transit Service (KATS) is in the process of establishing overall goals for the KATS Disadvantaged Business Enterprises (DBE) program for FFY 2023, 2024, and 2025. As part of the program, a meeting to review and discuss the overall goals and methodology will be held on Tuesday May 10, 2022 located at 900 East Main Street Kingsport TN 37660 at 9:30 am. The purpose of the meeting is to discuss potential opportunities for DBE participation in upcoming projects, gain input, and to answer questions. All interested parties are invited to attend which may include (but not limited to) minority owned, women owned and general contractor groups, community organizations, and other officials or organizations who may have interest or information pertinent to the KATS DBE Goals and Methodology setting process. The meeting is not mandatory for DBE participation on future projects.

Subsequent to any posting of a public meeting, upon request, accommodations for individuals with disabilities, language barriers, or other needs to allow participation in meetings will be provided. Accessible public communication (auxiliary aid/service) requests must be made in advance to enable time to fulfill the request. While the city will make good faith efforts to fulfill all accommodation requests, those relating to public meetings and hearings should be submitted at least one week in advance. To arrange assistance, call KATS at 423-224-2613 or visit the website at www. kingsporttransit.org. The City of Kingsport and Kingsport Area Transit Service does not discriminate based on race, color or national origin and operates its programs in accordance with Title VI and Title VII of the Civil Rights Act of 1964.

PUB1T: 4/27/22

#### Public Notice KATS Disadvantaged Business Enterprise Public Input Meeting

In accordance with 49 Part26 as the result of receiving Federal Transportation Administration funds, Kingsport Area Transit Service (KATS) is in the process of establishing overall goals for the KATS Disadvantaged Business Enterprises (DBE) program for FFY 2023, 2024, and 2025. As part of the program, a meeting to review and discuss the overall goals and methodology will be held on Tuesday May 10, 2022 located at 900 East Main Street Kingsport TN 37660 at 9:30 am. The purpose of the meeting is to discuss potential opportunities for DBE participation in upcoming projects, gain input, and to answer questions. All interested parties are invited to attend which may include (but not limited to) minority owned, women owned and general contractor groups, community organizations, and other officials or organizations who may have interest or information pertinent to the KATS DBE Goals and Methodology setting process. The meeting is not mandatory for DBE participation on future projects.

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PUB1T: 4/27/22

Ad Type Ad Number 0001600091-01 XLegal Liner

Color

Attachment 6

#### External Ad Number

Ad Size

2 X 42 li

**Order Start Date Order Stop Date** 06/22/2022

06/22/2022

#### PUBLIC NOTICE CONCERNING THE KINGSPORT AREA TRANSIT SERVICE (KATS) DISADVANTAGED BUSINESS ENTERPRISE (DBE) GOAL FOR FISCAL YEARS 2023-2025

In accordance with the requirements of the U.S. Department of Transportation as set forth in 49 C.F.R. Part 26, as amended, the Kingsport Area Transit Service (KATS) hereby notifies the public that it is recommending a Disadvantaged Business Enterprise (DBE) goal of 1.4% of Federal financial assistance expended in (DBE) goal of 1.4% of Federal infancial assistance expended in DOT-assisted contracts, (exclusive of FTA funds to be used for the purchase of transit vehicles). KATS plans to obtain this goal through race-neutral means. The overall goal is based on the availability of ready, willing, and able DBE firms verses all busi-ness ready, willing, and able to participate in KATS DOT assisted contracts.

The proposed goal and the methodology plan are available for review between 8:00 a.m. and 5:00 p.m., Monday through Friday at 900 East main Street, Kingsport, TN 37660. It is also available online at www.kingsporttransit.org. Written comments on this goal will be accepted for 30 days, from June 22, 2022 through July 22, 2022. The comments are for information purposes only and may be sent to the KATS's DBE Staff, Candace Sherer or via email at www.kingsporttransit.org in the contact section. The City of Kingsport and Kingsport Area Transit Service, does not discriminate based on race, color or national origin and operates its programs in accordance with Title VI and Title VII of the Civil Rights Act of 1964.

PUB1T:

# Public Notice KATS Disadvantaged Business Enterprise Public Input Meeting

In accordance with 49 Part26 as the result of receiving Federal Transportation Administration funds, Kingsport Area Transit Service (KATS) is in the process of establishing overall goals for the KATS Disadvantaged Business Enterprises (DBE) program for FFY 2023, 2024, and 2025. As part of the program, a meeting to review and discuss the overall goals and methodology on Tuesday May 10, 2022 located at 900 East Main Street Kingsport TN 37660 at 9:30 am. The purpose of the meetings is to discuss potential opportunities for DBE participation in upcoming projects, gain input, and to answer questions. All interested parties are invited to attend which may include (but not limited to) minority owned, women owned and general contractor groups, community organizations, and other officials or organizations who may have interest or information pertinent to the KATS DBE Goals and Methodology setting process. The meeting is not mandatory for DBE participation on future projects.

**Purpose of Meeting**: KATS is in the process of gathering input and information for setting the upcoming DBE goal for FFY 2023, 2024, and 2025. KATS will continue to gather information and accept comments for 30 days from the time of the meeting before posting a final goal. KATS encourages DBE participation.

**Opportunities for Vendors:** KATS has numerous opportunities for all DBE or non DBE vendors. The following are some examples (not comprehensive) of opportunities/expenditures that KATS has incurred in the past 6 months:

- Landscaping
- Printing
- Apparel
- Software
- Cleaning
- Uniform Provision
- Office Supplies
- Pesticides
- Media

- Website
- Fleet
- Temp Agency
- Tracking
- Marketing Services
- Bus and van wraps
- Photography
- First Aid
- Transit related expenditures
- Pandemic related supplies
- Painting supplies

## Are there any new projects coming in the future?

Yes! There are ongoing opportunities for DBE and non DBE vendors. KATS bid and approved a transit garage to be built in 2022. We will have various needs in the future to maintain the facility.

## Other projects that KATS has on the horizon:

- Dispatching software
- Printing
- Cleaning
- Landscaping
- Temporary Agencies
- Grounds Maintenance
- Vehicle wrapping
- Interior Artwork
- Fuel Vendor
- Apparel
- Miscellaneous Purchases

The goal is to keep an ongoing dialog about opportunities. We have actually had DBE vendors call us to let us know what they do and what they provide. We ordered Pandemic Supplies from a DBE vendor that contacted us. We also found a DBE contact who does the KATS mowing, trimming and mulching for the grounds by researching the TN UCP list. There are opportunities that exist, but we must be in constant communication with local businesses as well as other neighboring transit agencies to share information.

Thank you for attending this meeting. Please let us know if you are an interested vendor or know if any DBE vendors who might have an interest in providing service or products to the transportation related field.

Are you interested in becoming a DBE vendor? We have the following information that might be helpful.

# What is DBE all about?

## Disadvantaged Business Enterprise (DBE) Program

It is the policy of KATS (Kingsport Area Transit Service) to afford Disadvantaged Business Enterprises (DBEs), as defined in 49 CFR Part 26, as amended, the maximum opportunity to participate in the performance of contracts financed in whole or in part with federal funds. KATS DBE Policy Statement may be viewed by visiting our website at <u>www.kingsporttransit.org</u>.

Definitions of DBE = Disadvantaged Business Enterprise

## Eligibility Guidelines (in general):

**Ownership**- Your business must be 51% owned by a socially and economically disadvantaged individual(s).

"Disadvantaged"- You may be eligible if you are a member of a group of persons the Department considers as disadvantaged. The Department presumes certain groups are disadvantaged, including women, Black Americans, Hispanic Americans, Native Americans, Asian-Pacific Americans, Subcontinent Asian-Pacific Americans, or other minorities found to be disadvantaged by the U.S. Small Business Administration (SBA). Persons who are not members of one of the above groups and own and control their business may also be eligible if they establish their "social" and "economic" disadvantage. The Department notes, for example, that people with disabilities have disproportionately low incomes and high rates of unemployment, and that many may be socially and economically disadvantaged. A determination of whether an individual with a disability meets DBE eligibility criteria is made on a case-by-case basis. More information on how social and economic disadvantage is determined can be found in <u>Appendix E to 49 CFR Part 26</u>.

- Business Size Determination- A firm (including its affiliates) must be a small business as defined by SBA standards. It must not have annual gross receipts over \$28,480,000 in the previous three fiscal years (\$52,470,000 for airport concessionaires in general with some exceptions). Under MAP-21, this threshold will be adjusted annually for inflation by the Secretary.
- Personal Net Worth- Only disadvantaged persons having a personal net worth of less than \$1.32 million can be considered as a potential qualified DBE. Items excluded from a person's net worth calculation include an individual's ownership interest in the applicant firm, and his or her equity in their primary residence.
- Independence- Only an independent business may be certified as a DBE. An independent business is one the viability of which does not depend on its relationship with another firm or firms.
- Control- A disadvantaged owner seeking certification must possess the power to direct or cause the direction of the management and policies of the firm. The owner must also have an overall understanding of, and managerial and technical competence and experience directly related to, the type of business in which the firm is engaged.
- **Burden of Proof Allocation** Applicants carry the initial burden of proof regarding their eligibility and must demonstrate that they meet all requirements concerning group membership or individual disadvantage, business size, ownership, and control.

## What is a DBE?

A DBE is a for-profit small business that is at least 51 percent owned and controlled by one or more individuals who are both socially and economically disadvantaged, as defined in 49 CFR Part 26. For a link to DBE regulations, 49 CFR Part 26, visit kingsporttransit.org.

## How does a business become certified as a DBE?

KATS DBE certification is administered by the Small Business Development Program, Civil Rights Office, Tennessee Department of Transportation (TDOT). Once a business is certified as a DBE, the Tennessee Unified Certified Program allows multiple agencies to utilize the same certification statewide, to simplify the application process.

Completed DBE applications should be mailed to: Tennessee Department of Transportation Civil Rights Office, Small Business Development Program Suite 1800, James K. Polk Bldg. 505 Deaderick Street Nashville, TN 37243-0347

## **Obtaining Certification**

Firms meeting the eligibility standards must contact the specific state or local transportation entity for which they wish to participate in contracts. In addition to requesting documentary evidence substantiating a firm's size, owner's PNW, independence, and an individual's ownership and control, recipients are required to perform an on-site visit to the firm's offices and job sites. Firms can obtain instructions on how to apply to become a DBE by contacting <u>state departments of transportation</u> or <u>state DBE liaison and certification officers</u>. To ease the burden of applying to multiple DOT recipients within a state, the Department requires a Unified Certification Program (UCP) to be developed so that applicants need only apply once for DBE certification that will be honored by all recipients in the state.

In response to longstanding concerns of DBEs, the Department instituted measures effective January 1, 2012, designed to make the certification process easier on recipients and certified DBEs. The measure found in 49 C.F.R. Part 26, <u>§26.85</u> prescribes how certifiers are to process requests for certification from DBE firms certified in other jurisdictions. Firms must be certified in their home state before seeking certification in another state under the rule.

### **Uniform Certification Application**

The Unified Certification Program (UCP) allows applicants for the Disadvantaged Business Enterprise (DBE) program to apply only once for a DBE certification that will be honored by all recipients in the state. Be advised that the <u>U.S Department of</u> <u>Transportation</u> does not review DBE applications. If you have questions about where to send your DBE application package, please contact the state transportation agency in the state in which you want to apply for. For your reference, we maintain a list of <u>DBE</u> <u>program points of contacts</u> and <u>websites</u>. All applicants to the DBE/ACDBE programs must submit a complete application package to a Unified Certification Program (UCP) member agency in the applicant's home state. (Click here for Application:<u>English Chinese-Mandarin Korean Spanish</u>), applications **should not be** 

submitted to US DOT)

(TNUCP) Listing					
Company Information	County Located	Company Type	Owner Name /Email/Web Addr		
Dunn's Mowing Service	HAWKINS (37)	Contractor	Dunn, Rashid		
1120 Bidwell St Kingsport, TN 37664	Region 1		rashiddunn@gmail.com		
(678) 508-8306	Certification:				
Counties Work In					
All Counties					
Specialty					
Landscaping Services					
NAICS List					

## What are the advantages of being certified as a DBE?

Certification as a DBE provides greater exposure for contract opportunities. Upon being certified as a DBE, your business's address is added to a state-wide database which is compiled and maintained by TDOT. This list, the TDOT Certified Disadvantaged Business Enterprise Listing, is accessed by other transit systems, as well as municipal and state agencies seeking to do business with DBEs. Being certified as a DBE enhances a business's exposure to the business community. However, there is no requirement to be a certified DBE in order to do business with KATS. The current TDOT Certified Disadvantaged Business Enterprise Listings may be viewed by visiting Kingsport Area Transit Service or using the direct link https://www.tdot.tn.gov/APPLICATIONS/DBEDirect/.

**KATS DBE finds:** KATS was able to find Dunn's Mowing Service by searching in the TN UCP registry. We met with Rashid Dunn and are going into our 3<sup>rd</sup> successful mowing season with him. We have solid working relationship consisting of quality work and communication. KATS also works with Capteevation, a screen printing company in Johnson City, TN. We work with John Schramlin, Graphic Artist and Owner of the company. We have had a successful working relationship with John for years.

#### **Tennessee Uniform Certification Program**

(TNUCP) Listing

<b>Company Information</b>	County Located	Company Type	Owner Name /Email/Web Addr
CapTeeVation, Inc.	WASHINGTON (90)	Other	Humphreys, Joy
809 S. Roan Street, Suite 6 Johnson City, TN 37601	Region 1		joy@capteevation_com
(423) 854-0008	Certification: DBE		www.capteevation.com
Counties Work In			
All Counties			
Specialty			
Specialties; Other Chemical and	Allied Products Merchant V t Wholesalers; Drugs & Dru	Vholesalers; Stationary Iggists' Sundries Merch	gn Services; Promotional Products/Advertising / & Office Supplies Merchant Wholesalers; Industrial & ant Wholesalers; Men's & Boys' Clothing & Furnishing: chant Wholesalers
NAICS List			
(453220), Graphic Design Servi Clothing and Furnishings Mercha	ces (541430), Industrial an ant Wholesalers (424320), (541890), Piece Goods, No	d Personal Service Pap Other Chemical and All	/holesalers (424210), Gift, Novelty, and Souvenir Stor er Merchant Wholesalers (424130), Men's and Boys' ied Products Merchant Wholesalers (424690), Other oods Merchant Wholesalers (424310), Stationery and

### Is there any cost to become certified as a DBE?

No. Business assistance from local resources which is available to current and prospective DBEs (including potential start-up businesses), including areas of technical, financial, and management information, is listed below:

TDOT Civil Rights Office, Small Business Development Program: "DBE Fastrac": A quarterly newsletter providing information for DBE's and small businesses, including upcoming DBE's seminars/workshops. Calendar of Training Events in Tennessee TN Department of General Services: https://www.tn.gov/generalservices/article/registration-for-certification1

ETSU Innovation Library: The ETSU Innovation Laboratory is an economic development initiative of East Tennessee State University, partnered with the Tennessee Small Business Development Center and the ETSU Entrepreneurial Leadership Program. (423-439-8500)

## Sherer, Candace

From:	Sherer, Candace
Sent:	Monday, March 21, 2022 8:49 AM
То:	'Rashid Dunn'
Subject:	RE: DBE

Thank you Rashid. This was very helpful.

From: Rashid Dunn [mailto:rashiddunn@gmail.com] Sent: Sunday, March 20, 2022 10:00 PM To: Sherer, Candace <CandaceSherer@KingsportTN.gov> Subject: Re: DBE

CAUTION: This email originated from outside your organization. Exercise caution when opening attachments or clicking links, especially from unknown senders.

I can describe my experience of applying to be DBE certified by being more time consuming rather than difficult. The process began with the application. I had to turn in a lot of proof and documents consisting of my experience as a mower, contracts, and equipment I own.

Following the application process the application has to be reviewed and approved. I had to be very patient with this process, it took a few weeks to receive the acceptance letter. Once approved an interview took place. A representative came to my residence to interview me and inspect my equipment.

In conclusion the process in all took about 6 months. Although, the process made me very anxious, it was worth the time and effort.

Being DBE certified has given me more opportunities for better contracts .

On Fri, Mar 18, 2022 at 4:59 PM Sherer, Candace < <u>CandaceSherer@kingsporttn.gov</u> wrote:

Hi Rashid,

I am hosting a meeting on April 5<sup>th</sup> on how to become a DBE. I was just wondering if you would let me know in a reply email if you found the process to be difficult or arduous? How long did it take? Did you feel like it was worth it? Basically, anything you could tell me about your experience with the process would be greatly appreciated.

Candace Sherer

Transit Planner

City of Kingsport